

→ 10th COASTAL ALTIMETRY WORKSHOP

SAR Altimetry Training Course

Radar Altimetry - Introduction to missions and applications

M. Restano¹ & J. Benveniste²

¹SERCO c/o ESA/ESRIN

²ESA/ESRIN

21–24 February 2017 | Florence, Italy

Summary

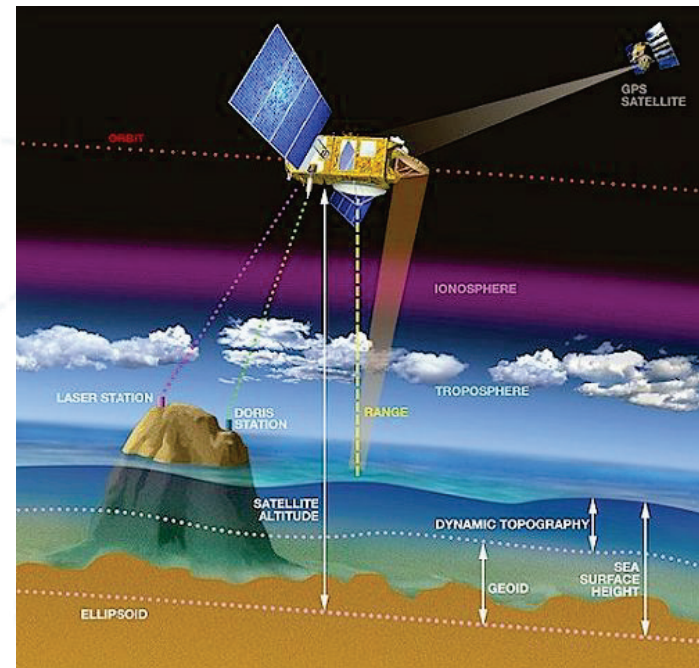
- *Radar Altimetry fundamentals*
- *Missions & Technologies*
- *Applications*

Summary

- *Radar Altimetry fundamentals*

Radar principles

- Altimeters measure the **range** between the **satellite and the surface** observed at nadir.
- The orbit is typically determined with an accuracy (radial orbit error) of <2 cm (NTC products) by using SLR, GPS (20,180 km orbit) and DORIS data (10-100 m in '50s-'60s with optical data, 5-10 cm in NRT/STC L2 products).
- Geoids (i.e. the ocean surface excluding the influence of wind and tides) are obtained from geodetic or gravity missions (e.g. CHAMP, GRACE, GOCE and including Starlette/Stella, LAGEOS-1/2 data).
- A reference ellipsoid shall be considered as baseline Datum (e.g., WGS84). It is an arbitrary smooth surface designed to be close to the Earth's surface.
- The range measurement shall be corrected for a series of effects related to both the propagation into the Ionosphere/Troposphere, the reflection and geophysical forcing on the ocean.

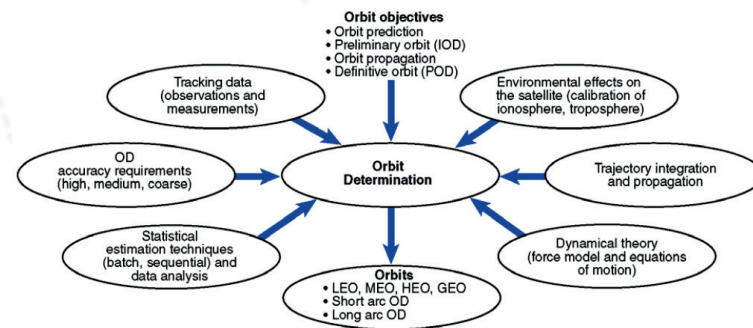


Sea Surface Height (SSH): $\text{Satellite_Altitude} - \text{Corrected_Range}$

Sea Level Anomaly: $\text{SSH} - \text{Mean SSH (=geoid)}$

Table 2
Nature and source of geophysical corrections

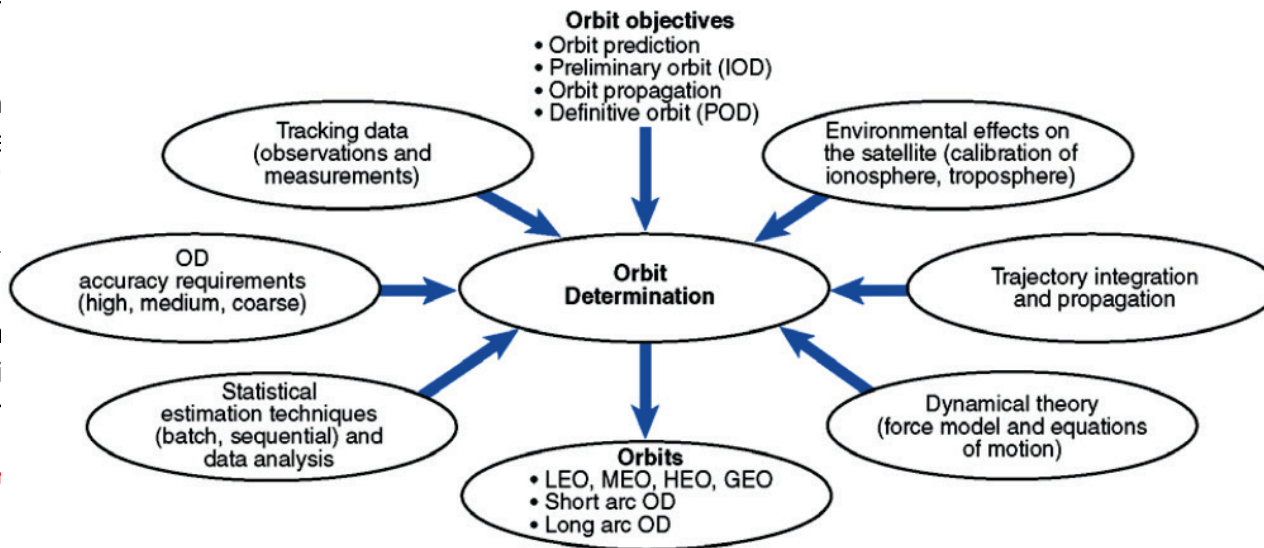
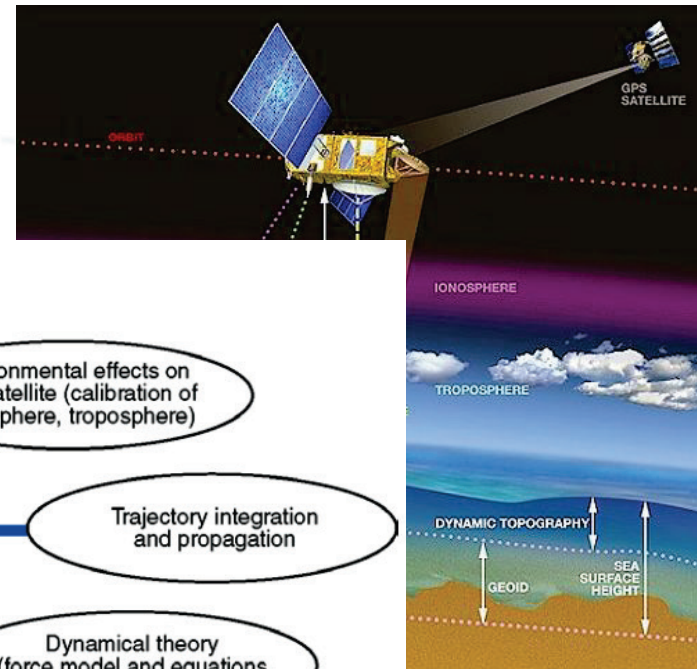
Correction	Source	Typical winter magnitude at 80°N, averaged over 1 month and 10 ⁴ km ² .	Reference
Ocean tide	FES 02	0.03 m	Le Provost et al. (1998)
Ocean loading tide	FES 02	0.002 m	Francis and Mazzega (1990)
Long-period tide	FES 02	0.0075 m	Le Provost et al. (1998)
Solid Earth	Cartwright Edden	0.015 m	Cartwright and Edden (1973)
Polar tide	Wahr	0.0025 m	Wahr, 1985
Dry troposphere	Meteo France/ECMWF	2.3 m ±0.02 m	Saastamoinen, 1972
Inverse barometric correction	Meteo France/ECMWF	0.03 m	Ponte (1991)
Wet troposphere	Meteo France/ECMWF	0.01 m	Saastamoinen (1972)
Ionosphere	Bent model	0.015 m	Llewellyn and Bent (1973)



Credits: (right, top) AVISO, (left) Wingham et al. (2006), (right, bottom) Vetter (2007)

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Sea Sur

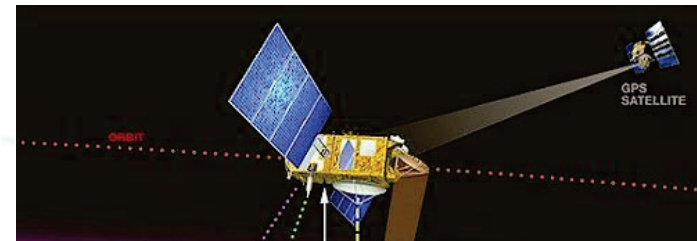
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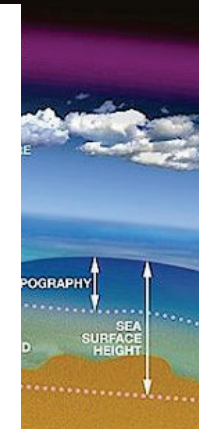
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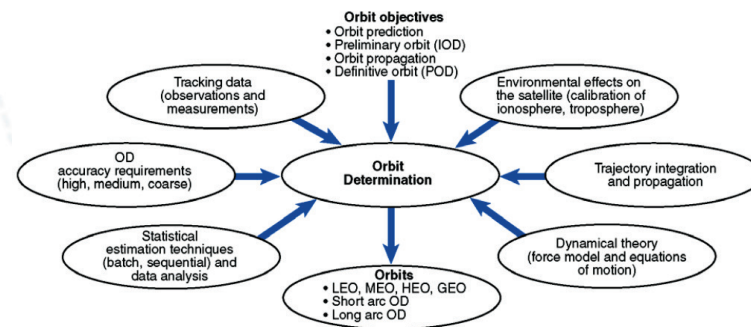
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Sea Level Anomaly: $\text{SSH} - \text{Mean SSH} (= \text{geoid})$



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Other useful products: Tide Gauge Data

- Gauges locally measure relative sea level, absolute level if they are referenced to an ellipsoid (e.g. WGS84) with a GPS.
- Tide gauge measurements (e.g. every 10 min) are made with respect to a local fixed reference level on land.
- Local vertical land motion can be corrected by using GPS data or glacial isostatic adjustment (GIA) models.
- When reference datums are different, a de-meaning (subtracting the mean from each set of observations so that they are zero-mean) shall be applied when comparing TG and Altimeter dataset.

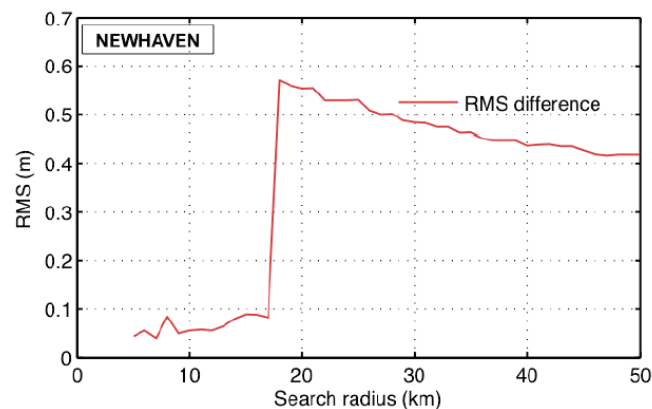
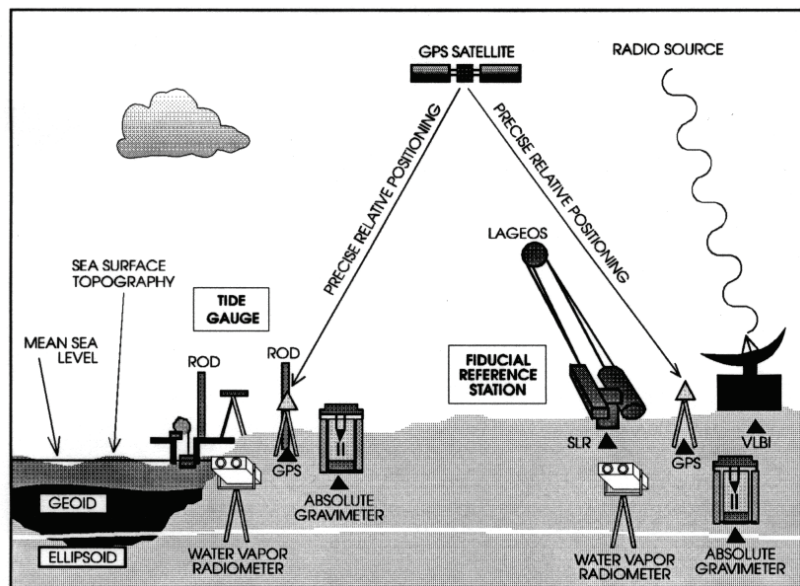


Figure 19: RMS difference between de-meaned time series of TWLE from altimetry and from the tide gauge at Newhaven, as a function of the search radius around the tide gauge. No outlier was removed. Note the sharp increase when search radius goes from 17 km to 18 km.

Credit: ESA CP40/CCN1 project.

Summary

• Missions & Technologies

DAY 1 Tuesday 21 February 2017

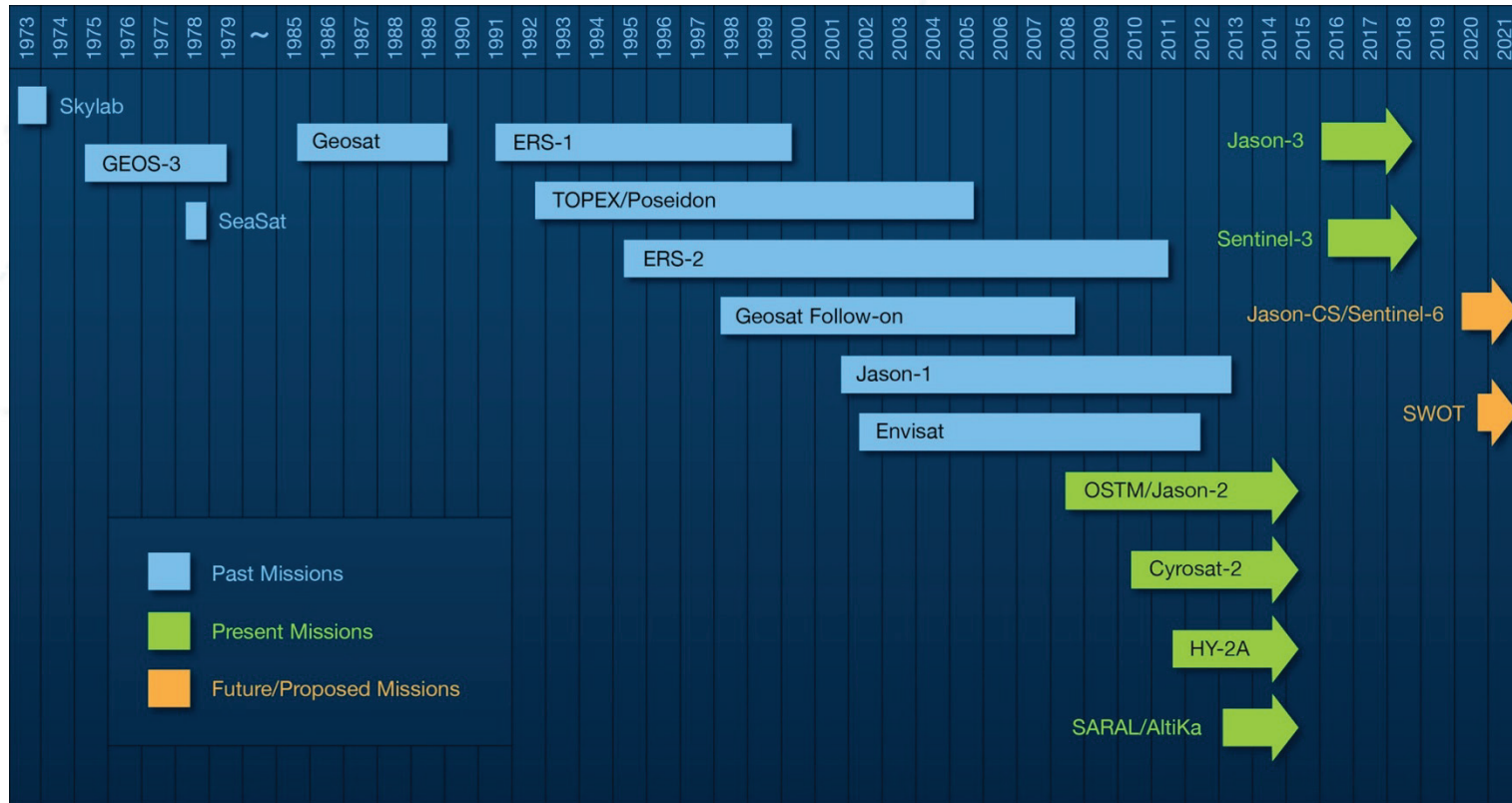
8:30-8:35	Welcome to the SAR ALTIMETRY TRAINING COURSE	J. Benveniste
8:35-8:55	Radar Altimetry - Introduction to missions and applications	M. Restano; J. Benveniste
8:55-9:35	Overview on LRM, SAR, SARin & RDSAR Altimetry	M. Roca
9:35-10:55	SAR and SARin L1A to L2 processing; Strategies for different applications; options in SARvatore	S. Dinardo
10:55-11:25	Coffee Break	
11:25-11:45	Delay Doppler Altimeter Instrument Calibration	M. Scagliola
11:45-12:10	Overview on corrections to be applied & on validation against TG and other datasets	P. Cipollini; M. Passaro
12:10-12:35	ESA projects on Radar Altimetry	M. Restano; J. Benveniste
12:35-13:00	Fully focused SAR processing / Swath Processing	W. Smith
13:00-14:00	Lunch	

DAY 3 Friday 24 February 2017

SAR ALTIMETRY TRAINING COURSE

13:30-14:30	SARvatore Demo and Hands-On	S. Dinardo and M. Restano
14:30-15:30	DeDop Demo and Hands-On	M. Roca
15:30-16:00	Coffee Break	
16:00-16:45	BRAT Demo	R. Capote
16:45-17:15	GUT Demo	A. Ambrózio
17:15-17:45	Future Missions: Sentinel-6, SWOT, CryoSat Follow On	M. Roca and J. Benveniste
17:45-18:45	Wine & Cheese	

Altimetry Missions (1973-2017)



- Low Resolution Mode (LRM) missions up to Jason-3.
- Other missions include SAR mode. CryoSat-2 includes SARin mode (two antennas for interferometry).
- The interleaved mode designed for Sentinel-6/Jason-CS allows to obtain simultaneously SAR and LRM waveforms.

Typical Altimeter Technologies

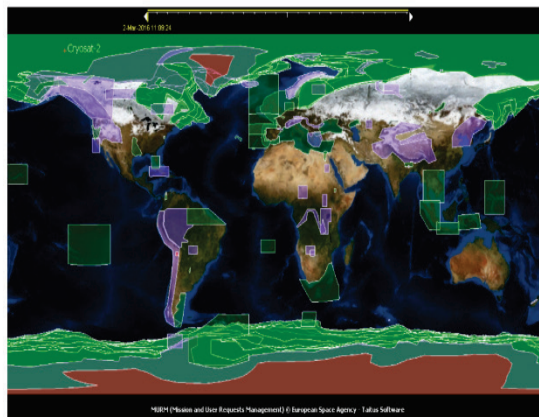
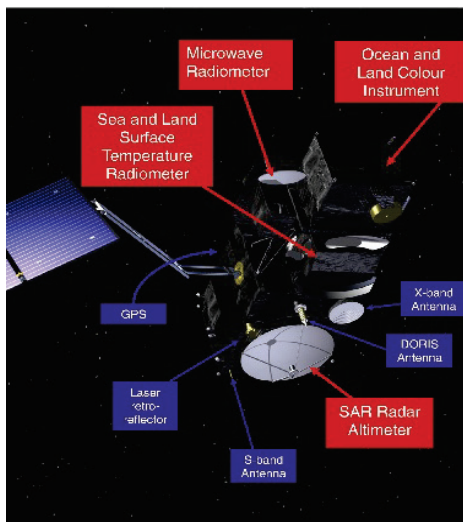
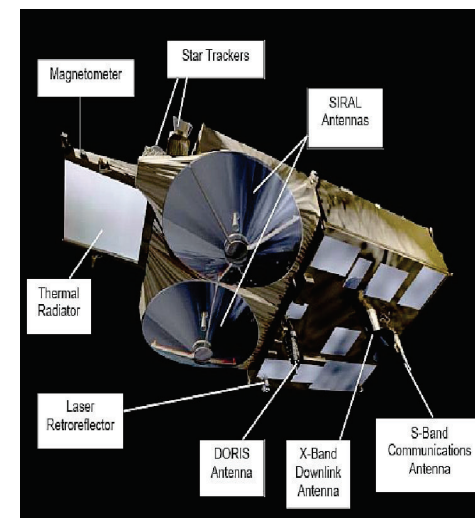
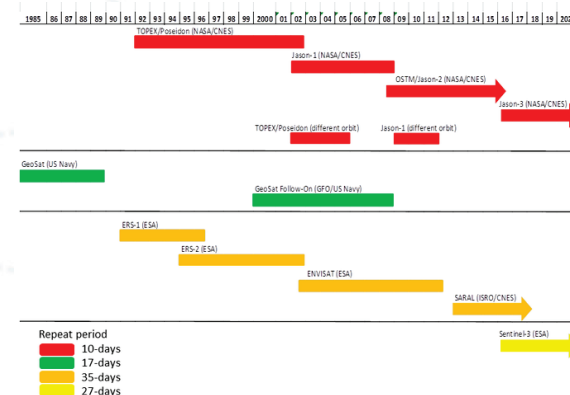


Figure 3.1-1 The current CryoSat-2 mode mask (version 3.8). Green is SAR mode, purple is SARin mode, and other areas are in LRM mode.

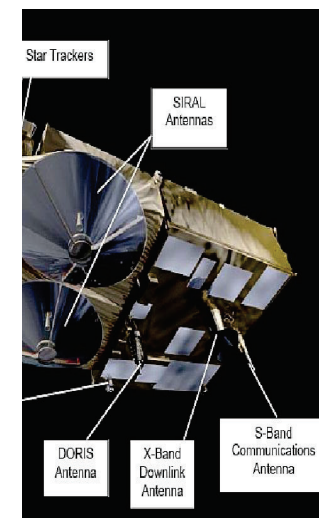
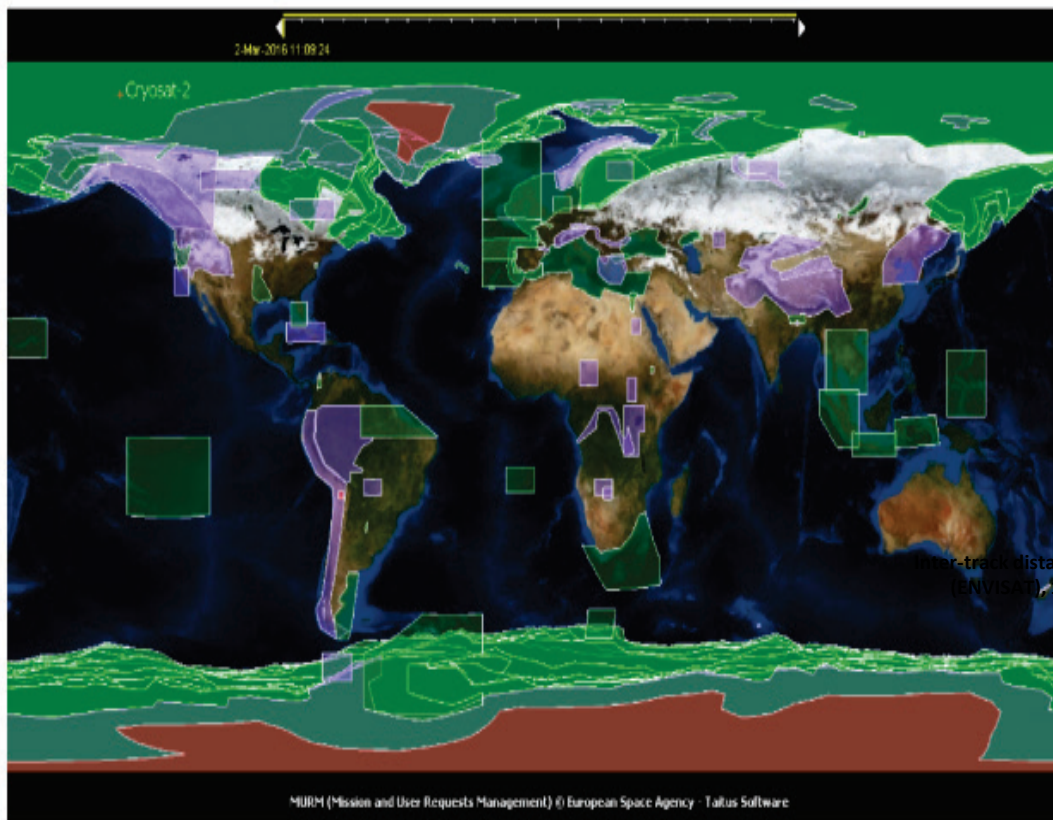
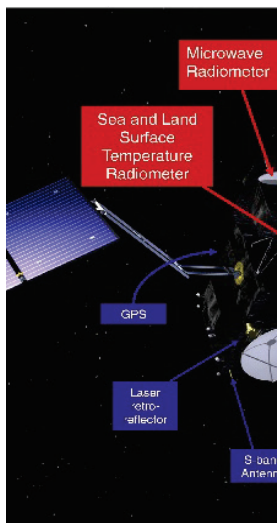


Inter-track distance at the equator: 8 km (CryoSat-2), 80 km (ENVISAT), 104 km (Sentinel-3), 315 km (Jason 1-3).



- **Envisat:** Low Resolution Mode (8 Km along track resolution by averaging 20 measurements acquired every 400m), Ku Band (**13.6 GHz**), vertical resolution of 0,5, 2 or 8 meters (adaptable to the scenario). 800 km orbit.
- **Sentinel-3 (SRAL):** SAR Processor (spatial *resolution* of 250 m in the along-track direction), Onboard Radiometer, vertical resolution of 0,4 m (350 MHz bandwidth). 814.5 km Orbit .
- **CryoSat-2:** 2 Antennas, LRM/SAR/SARin Processors (spatial *resolution* of 250 m in the along-track direction), **No Onboard Radiometer**. Vertical resolution of 0,47 mt (320 MHz bandwidth). 732 kilometres orbit (high latitude coverage: 88°).
- **SARAL/AltiKa:** Single Antenna, SAR Processor, **Ka band (35,75 GHz)**, 0,3 mt vertical resolution (500MHz chirp bandwidth). Better sea roughness determination than Ku band (higher frequency). polar orbit at 800 km altitude (same as Envisat).

Typical Altimeter Technologies



Orbit-track distance at the equator: 8 km (CryoSat-2), 80 km (Envisat), 104 km (Sentinel-3), 315 km (Jason 1-3).

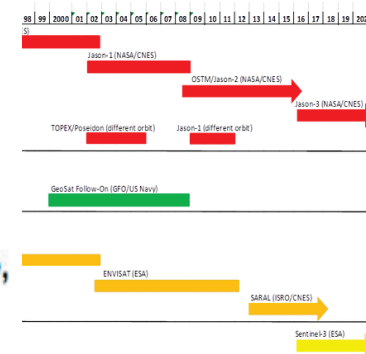
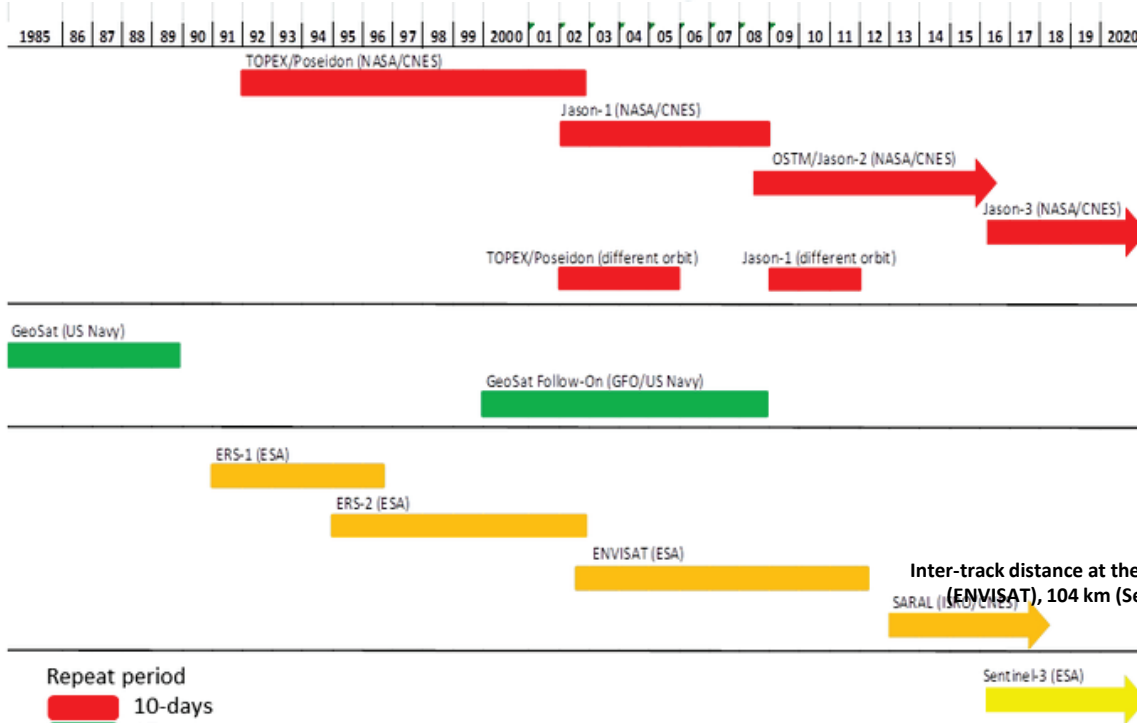
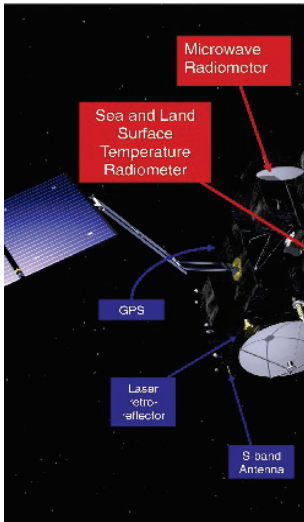


Figure 3.1-1 The current CryoSat-2 mode mask (version 3.8). Green is SAR mode, purple is SARin mode, and other areas are in LRM mode.

- **Envisat:** Low Resolution (500m), Ku band, 770 km orbit (high latitude scenario). 800 km orbit.
- **Sentinel-3 (SRAL):** SAR Onboard Radiometer, vertical scanning SAR.
- **CryoSat-2:** 2 Antennas, L1 direction, **No Onboard Radiometer**, 700 km orbit (high latitude).
- **SARAL/AltiKa:** Single Antenna, 500MHz chirp bandwidth. Better sea roughness determination than Ku band (higher frequency). 800 km orbit at 800 km altitude (same as Envisat).

35-days
27-days

Typical Altimeter Technologies



- Envisat:** Low Resolution Mode acquired every 400m, Ku B the scenario). 800 km orbit.
 - Sentinel-3 (SRAL):** SAR Processor Onboard Radiometer, vertical
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Processing schemes: Low Resolution Mode

- Pulse limited acquisition. The time on target dictated by the beam illumination is not exploited.

- **Observed parameters:**

Significant Wave Height (SWH)
Wind Speed
Range (altimeter to mid-height of sea level)

- **Typical specs:**

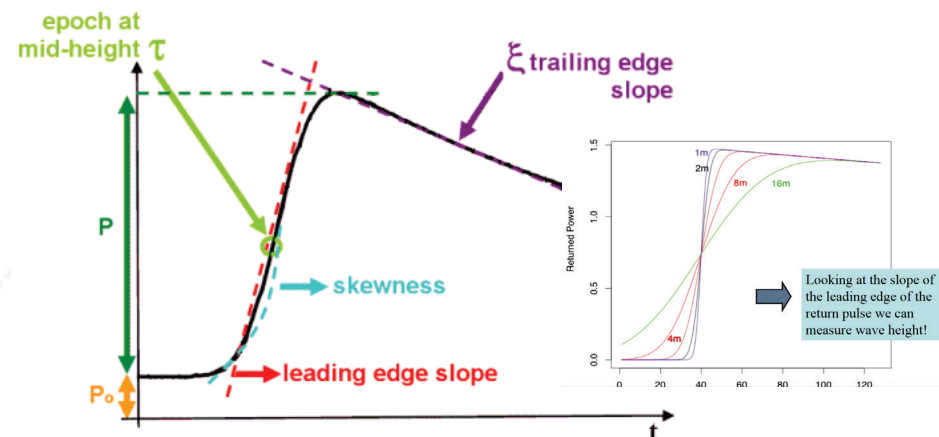
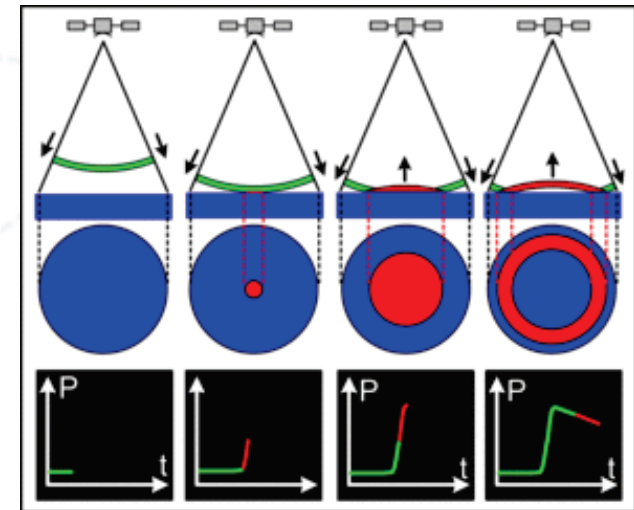
Along/Across track resolution: several km depending on SWH.

- **Pros:**

Easy to implement.
Typically adopted on the open ocean.
Can be obtained from SAR or SARin waveforms (RDSAR)

- **Cons**

Poor usage of the scattering information.
Poor resolution in both directions.



Processing schemes: Low Resolution Mode

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- **Observed parameters**

Significant
Wind Speed
Range

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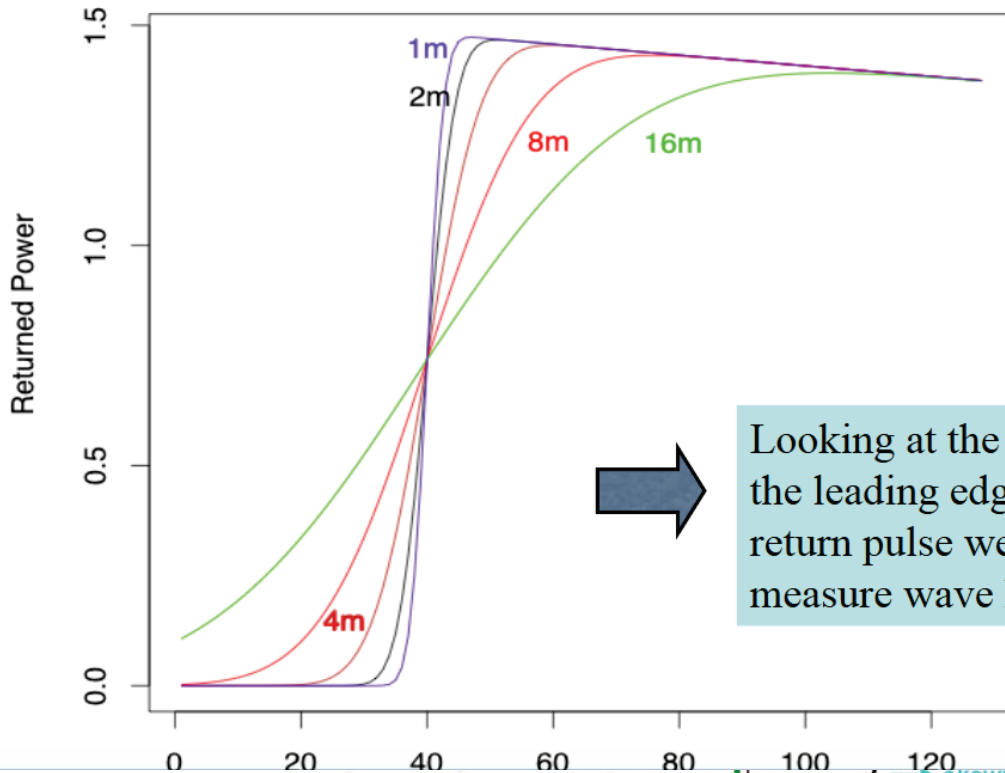
Along/Across track

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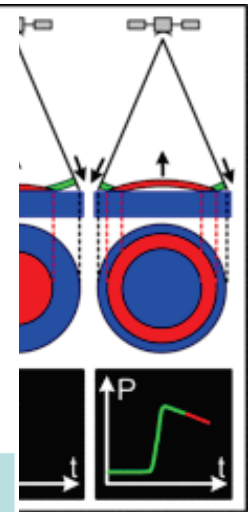
Easy to implement
Typically adopted
Can be obtained

- **Cons**

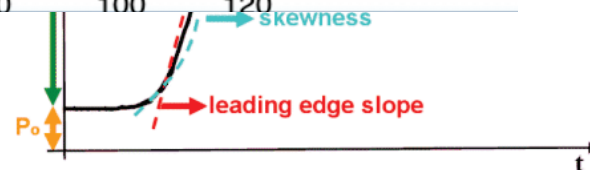
Poor usage of the scattering information.
Poor resolution in both directions.



Looking at the slope of the leading edge of the return pulse we can measure wave height!



Leading edge slope



Processing schemes: Unfocused Delay-Doppler

- The time on target dictated by the beam illumination is exploited. Burst of pulses are transmitted (Sentinel-3, 66 pulses: 1 C-band pulse / 64 Ku-band pulses / 1 C-band pulse).

- Typical specs:**

Along track resolution: around 300 m.

Across track resolution: same as LRM.

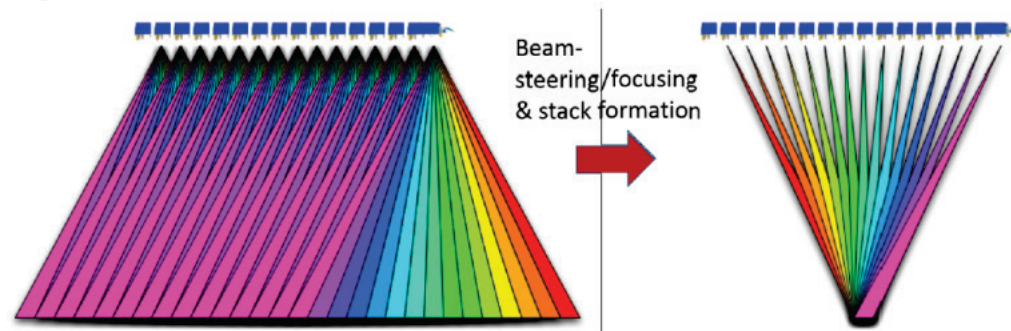
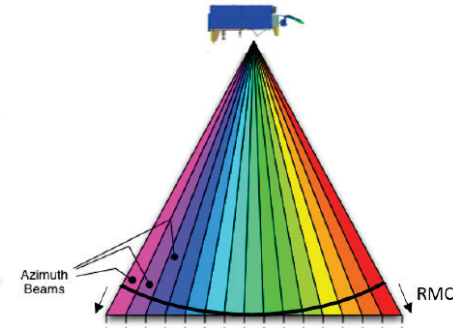
- Pros:**

- Improved along track resolution (300 m)
- Higher SNR due to extended time on target).

RDSAR (pLRM) waveforms can be obtained by compressing SAR and SARin waveforms.

- Cons**

Across track resolution is not improved.



Transmission Schemes

- Possibility to obtain both LRM and SAR waveforms if the interleaved transmission mode is adopted.
- The statistical equivalence to native LRM waveforms is not given by RDSAR waveforms.

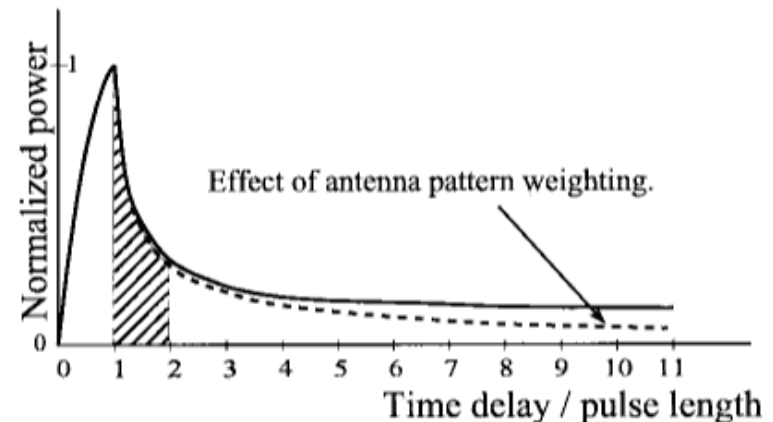
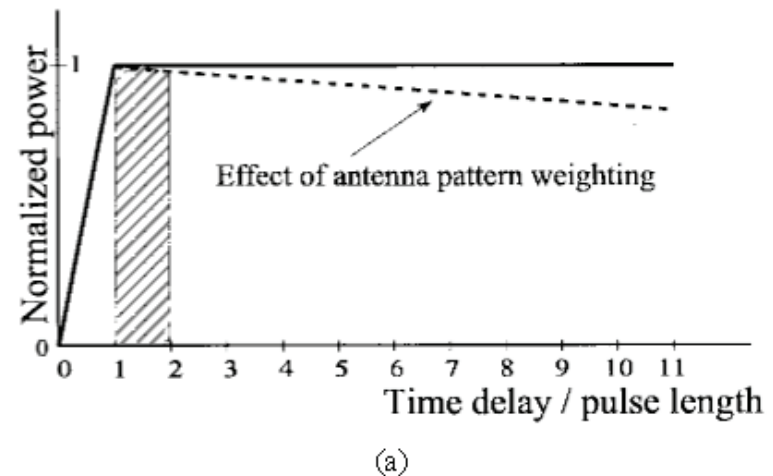
OPERATION MODE	CHRONOGRAM	ALTIMETRIC MISSIONS
Low Resolution Mode (LRM) Low PRF (1-4kHz) Continuous Tx/Rx		All past ocean altimeter missions Cryosat-2 LRM AltiKa Jason-3
SAR Closed-Bursts High PRF (~20 kHz) Tx/Rx in bursts		Cryosat-2 SAR Sentinel-3
SAR "Interleaved" Moderate PRF (~9 kHz) Continuous Tx/Rx		Jason-CS

FIGURE 2: CHRONOGRAMS FOR LRM (TOP), SAR CLOSED BURST MODE (MIDDLE) AND SAR INTERLEAVED MODE (BOTTOM) (SOURCE: ADAPTED FROM SMITH ET AL., 2013)

Credit: Gommenginger et al. (2013)

Processing schemes: Unfocused Delay-Doppler (2)

- The SAR waveform is substantially different requiring different waveform models for retracking.
- **Retracking:**
- Processing required for improving the range precision of existing measurements guaranteeing a very accurate Sea Surface Height (SSH).
- It involves the fitting of the returned echo to a waveform model corresponding to the observed target.
- **Input: L1b data:** LRM or SAR processed obtained from calibrated FBR (L1A) data.
- **Output: L2 data**



Credit: Raney (1998)

Processing schemes: Synthetic Aperture Radar (SARin) mode

- SIRAL on CryoSat-2 is the only radar altimeter implementing the SARin processing by collecting data with two antennas.

Typical specs:

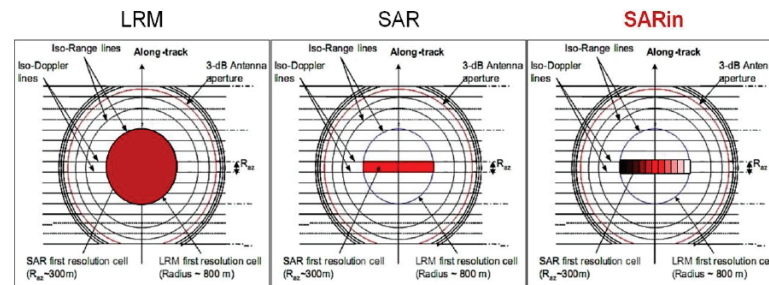
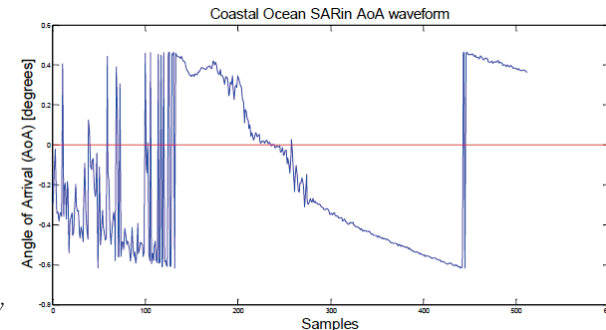
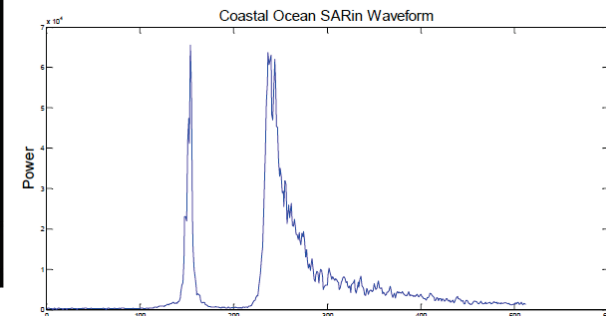
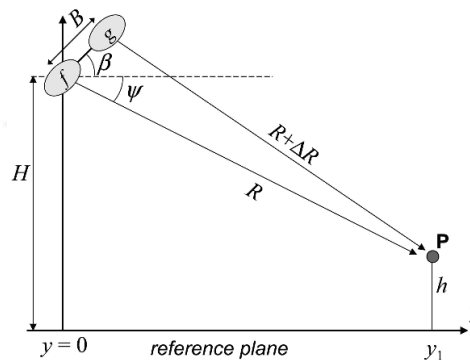
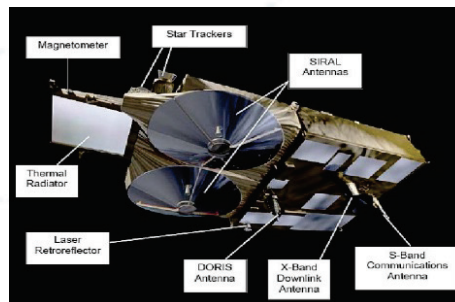
- Along track resolution: same as SAR.

- Across track resolution: same as LRM but the Angle of Arrival (AoA) can be determined.

Pros

- Operated over the marginal regions of the Earth's ice sheets and regions of mountain glaciers allowing the elevation retrieval.

- Useful for coastal regions as well as on inland water to identify clutter return to be excluded from the analysis.



Credit: ESA CP40/CCN1 project

Figure 2-1. The three CS2 possible modes: LRM, SAR & SARin (courtesy of Thales Alenia Space).

Processing schemes: Synthetic Aperture Radar (SARin) mode

SIRAL on CryoSat-2 is implementing the collecting data with two

Typical specs:

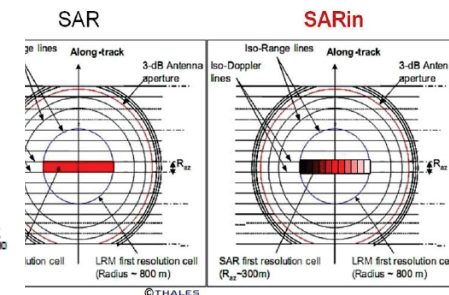
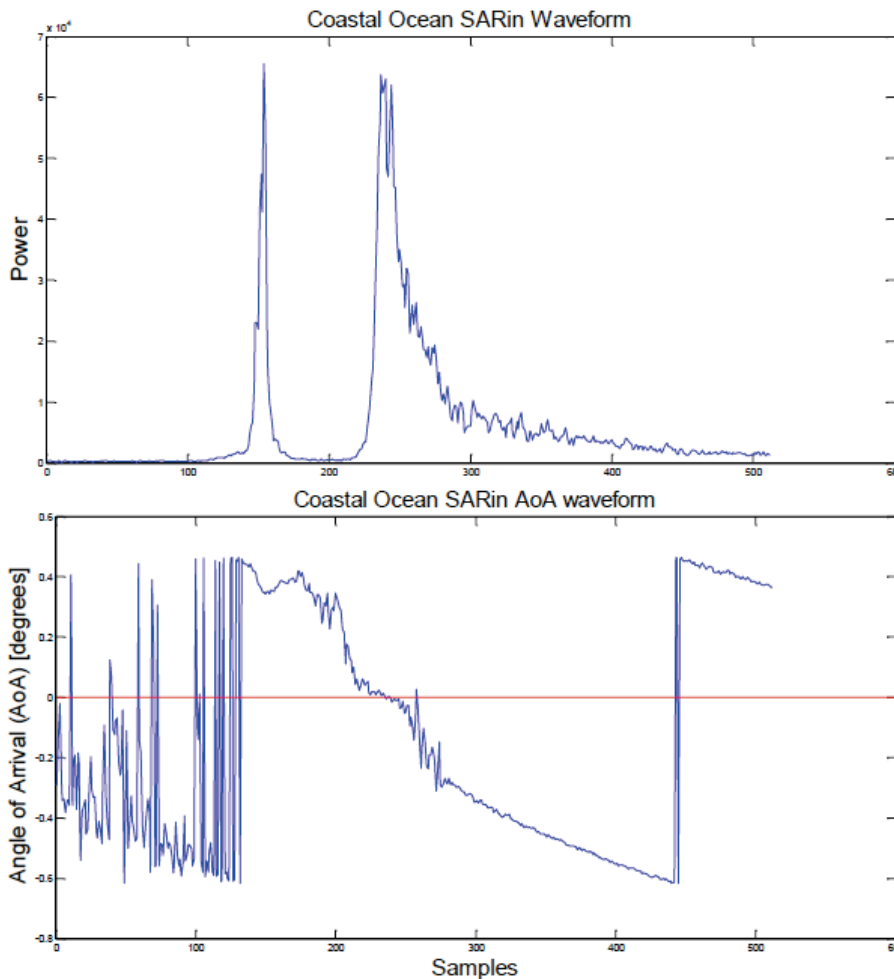
Along track resolution

Across track resolution
Angle of Arrival (AoA)

Pros

Operated over the Earth's ice sheets and glaciers allowing the

Useful for coastal region water to identify clutter from the analysis.



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SIRAL on CryoSat-2 is the only radar altimeter implementing the SARin processing by collecting data with two antennas.

Typical spec...

Along track r...

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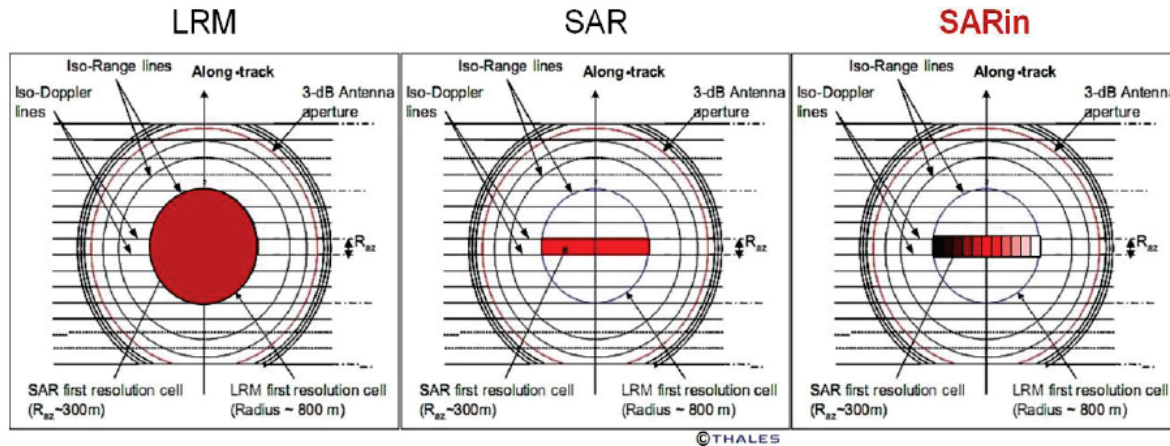
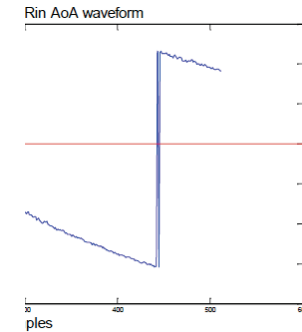
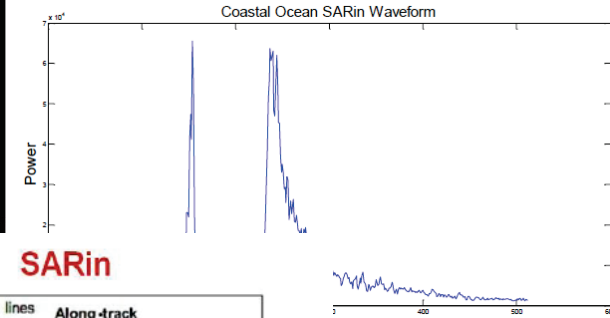
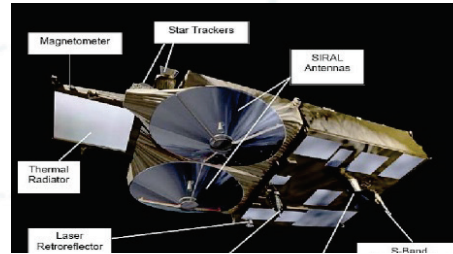
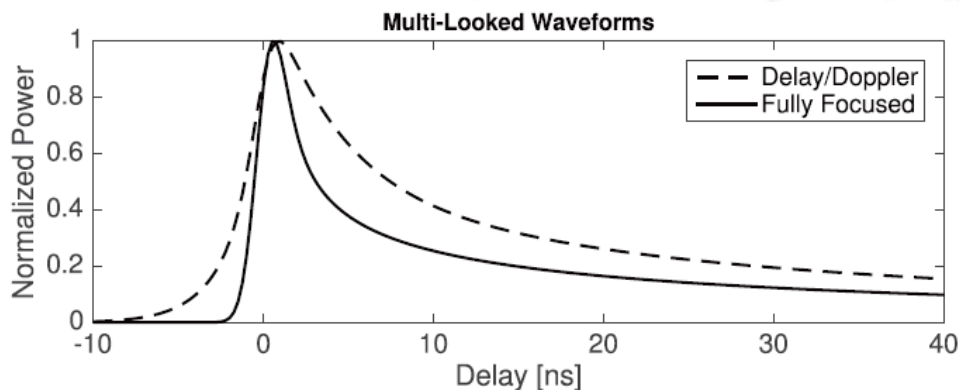


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Processing schemes: Fully Focused SAR altimetry

- FF SAR processing reduces the along-track resolution down to the theoretical limit equal to half the antenna length.
- The footprint of an FF-SAR altimeter measurement is a narrow strip on the surface, which is pulse limited across track and SAR focused along track.
- It was demonstrated by obtaining an along-track resolution of 0.5 m (in contrast to the approximately 300-m resolution of the unfocused delay/Doppler processing).



Credits: Egido and Smith (2016)



Summary

- Applications*

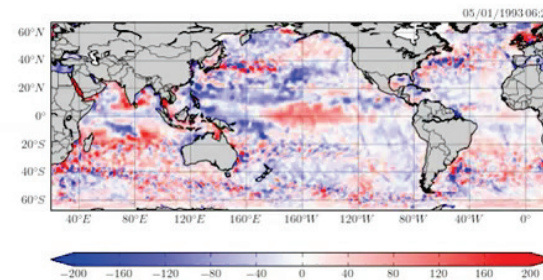
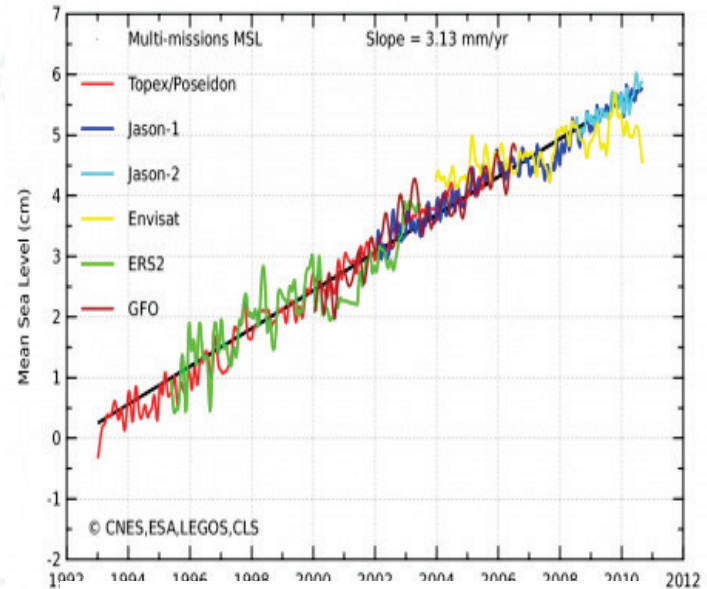
DAY 3 Friday 24 February 2017

SAR ALTIMETRY TRAINING COURSE

9:00-9:40	SAR Altimetry Processing for Open Ocean, Sea Level Monitoring,/SLCCI Multi Mission datasets	L. Fenoglio-Marc
9:40-10:20	SAR Altimetry Processing for Coastal Oceanography	L. Fenoglio-Marc
10:20-10:50	SAR Altimetry Processing for Sea Level in Polar regions (e.g. CS-2 data as input to Tide Models)	O. Andersen
10:50-11:20	Coffee Break	
11:20-11:50	SAR Altimetry Processing for Inland Water: Lakes	N. Bercher
11:50-12:30	SAR Altimetry Processing for Inland Water: Rivers	N. Bercher
12:30-13:30	Lunch	
13:30-14:30	SARvatore Demo and Hands-On	S. Dinardo and M. Restano
14:30-15:30	DeDop Demo and Hands-On	M. Roca
15:30-16:00	Coffee Break	
16:00-16:45	BRAT Demo	R. Capote
16:45-17:15	GUT Demo	A. Ambrózio
17:15-17:45	Future Missions: Sentinel-6, SWOT, CryoSat Follow On	M. Roca and J. Benveniste
17:45-18:45	Wine & Cheese	

Sea Level Monitoring

- The global mean level of the oceans is an indicator of climate change (ocean temperature warming, mountain glaciers/melting).
- Mean Sea Level is an average over all the oceans of sea surface height, with respect to a reference.
- Recently, the **Sea Level CCI team** has released a full reprocessing of the Sea Level products (**v2.0 ECV**).
- The monthly maps of **sea level anomalies (actual sea level-mean sea surface, [mm] in the video)** are now available over the **1993-2015** period.

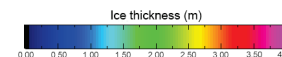
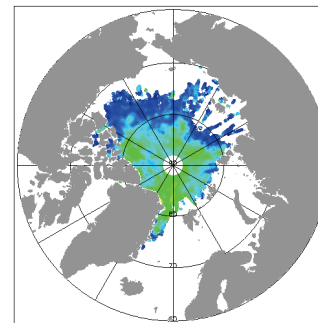


Glaciers Monitoring

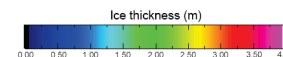
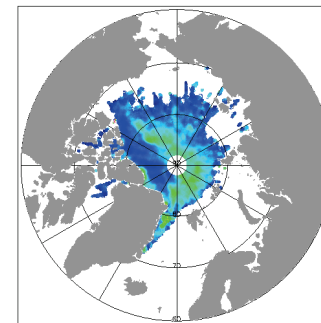
- CryoSat-2 monitored changes in surface elevation of Earth's ice sheets, sea-ice thickness and extent.
- Routinely monitoring since November 2010.

Ice Thickness

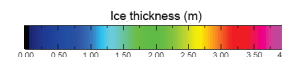
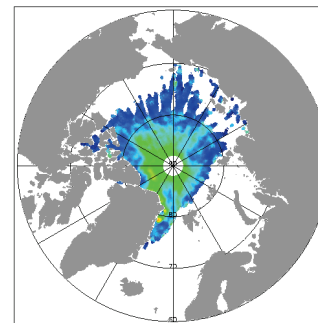
October 2010



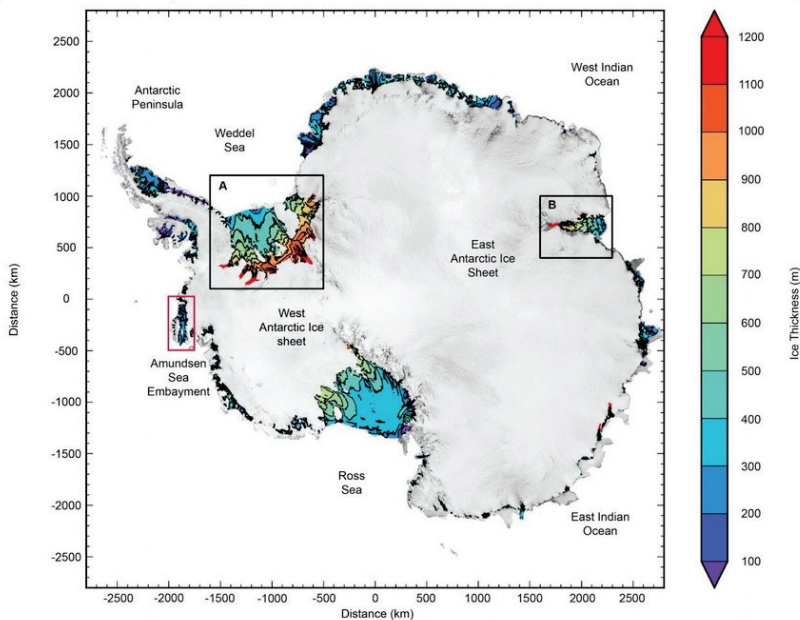
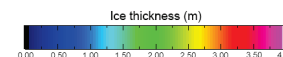
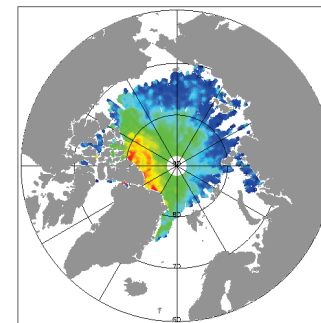
October 2011



October 2012



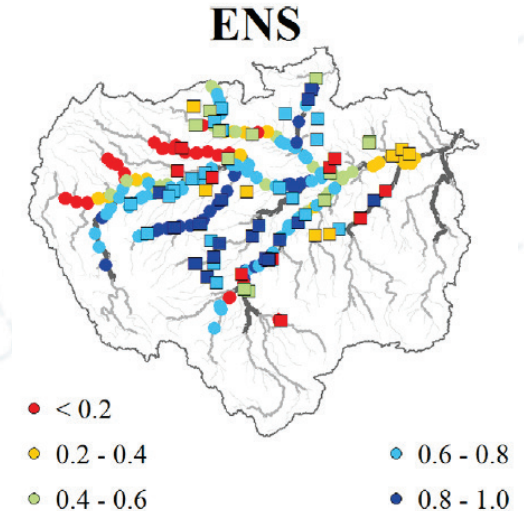
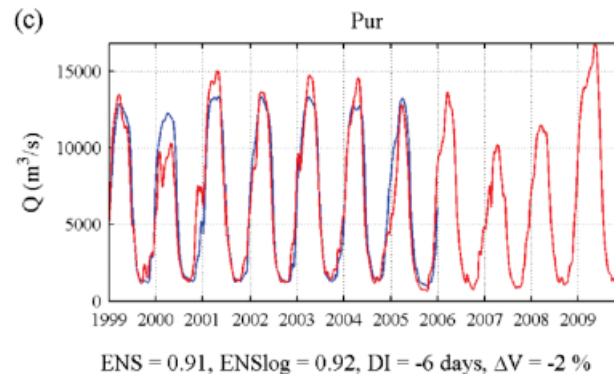
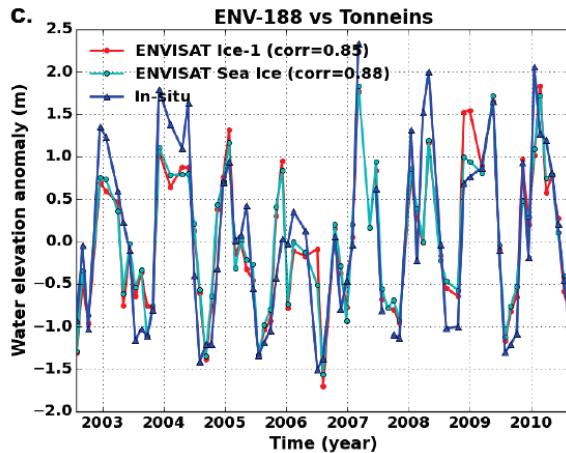
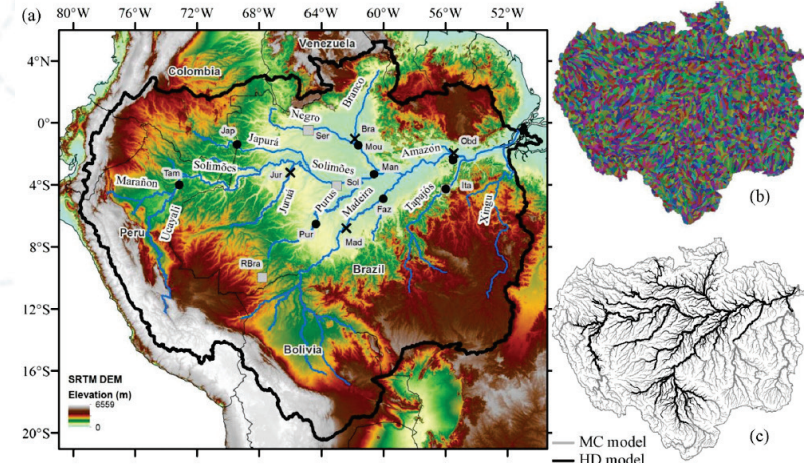
October 2013



Antarctic ice shelf thickness Derived from CryoSat-2 radar altimetry (Credit: subset of fig S1 from Chuter and Bamber, 2015).

River Level Monitoring & Hydrological modeling

- Hydrological models are used to understand and quantify processes such as evapotranspiration, soil and groundwater storages, and river-floodplain hydrodynamics.
- The Nash–Sutcliffe (NS) coefficient can be adopted for validation. The closer to 1 the NS coefficient is, the closest to the in situ time series match the water level predictions. NS above 0.5 can be considered skillful.



Credit: S. Biancamaria et al. (2016), Paiva et al. (2013)

References

- “Fifty Years of Orbit Determination: Development of Modern Astrodynamics Methods” by J. R. Vetter. Johns Hopkins ApL Technical Digest, Vol. 27, No. 3 (2007). <http://www.jhuapl.edu/techdigest/TD/td2703/vetter.pdf>
- “The delay/Doppler Radar Altimeter” By K. Raney (1998), Doi: 10.1109/36.718861
- “Fully Focused SAR Altimetry: Theory And Applications” by Egido And Smith (2016) Doi: 10.1109/Tgrs.2016.2607122
- ESA CP4O/Ccn1 Project, Wp1000 Deliverable (Http://Www.Satoc.Eu/Projects/Cp4o/Cp4o_ccn.Html)
- “Jason-CS SAR Mode Error Budget Study Review Of State Of Knowledge For Sar Altimetry Over Ocean” By Gommenginger Et Al. (2013)
- “Cryosat: A Mission To Determine The Fluctuations In Earth’s Land And Marine Ice Fields” By Wingham Et Al. (2006) Doi:10.1016/J.Asr.2005.07.027.
- “Satellite Radar Altimetry Water Elevations Performance Over A 200 M Wide River: Evaluation Over The Garonne River” By Biancamaria Et Al. (2016), <Http://Dx.Doi.Org/10.1016/J.Asr.2016.10.008>
- ESA CCI sea level website: www.esa-sealevel-cci.org/

For any question, contact us at: altimetry.info@esa.int